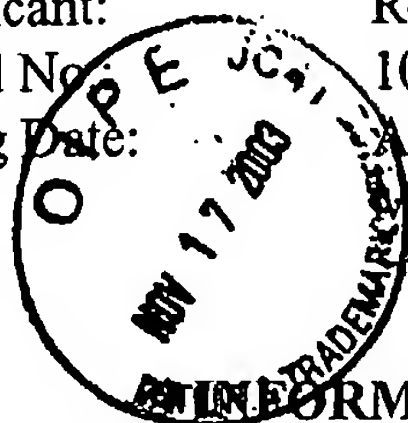


Applicant: Roger W. Phillips, et al.
 Serial No. 10/637,605 Attorney Docket No.: 48930-01531
 Filing Date: August 8, 2003 Group: _____
 For: MULTI-LAYERED MAGNETIC PIGMENTS AND FOILS



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner Initial*	Document Number	Issue/Publication Date	Name	Sub Class	Class	Filing Date
<u>X</u> 1	2,570,856	10/09/51	Pratt, et al.	41	32	03/25/47
<u> </u> 2	3,011,383	12/05/61	Sylvester, et al.	88	1	04/30/57
<u> </u> 3	3,791,864	02/12/74	Steingroever	117	238	11/05/71
<u> </u> 4	3,926,659	12/16/75	Bernhard, et al.	106	291	03/15/74
<u> </u> 5	4,105,572	08/08/78	Gorondy	252	62.1	03/31/76
<u> </u> 6	4,272,602	06/09/81	Stemme, et al.	430	140	12/07/82
<u> </u> 7	4,323,904	04/06/82	Edwards, et al.	346	74.7	09/13/79
<u> </u> 8	4,428,974	01/31/84	Okita, et al.	427	44	12/07/82
<u> </u> 9	4,434,010	02/28/84	Ash	106	291	10/26/81
<u> </u> 10	4,552,593	11/12/85	Ostertag	106	291	10/03/83
<u> </u> 11	4,705,300	11/10/87	Berning, et al.	283	91	11/21/86
<u> </u> 12	4,705,356	11/10/87	Berning, et al.	350	166	07/13/84
<u> </u> 13	4,721,217	01/26/88	Phillips, et al.	215	230	08/07/86
<u> </u> 14	4,756,771	07/12/88	Brodalla, et al.	148	61	04/14/87
<u>X</u> 15	4,779,898	10/25/88	Berning, et al.	283	58	08/21/87

Examiner: [Signature]

Date Considered: 06-04

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<u>35</u>	5,364,689	11/15/94	Kashiwagi, et al.	428	195	10/21/92
36	5,549,774	08/27/96	Miekka, et al.	156	209	05/11/92
37	5,549,953	08/27/96	Li	428	64.1	04/29/93
38	5,571,624	11/05/96	Phillips, et al.	428	403	12/15/94
39	5,573,584	11/12/96	Ostertag, et al.	106	417	11/30/93
40	5,593,773	01/14/97	McKay, et al.	428	328	07/23/93
41	5,607,504	03/04/97	Schmid, et al.	106	403	11/28/94
42	5,624,076	04/29/97	Miekka, et al.	241	3	06/07/95
43	5,624,486	04/39/97	Schmid, et al.	106	404	08/30/94
44	5,629,068	05/13/97	Miekka, et al.	428	148	06/07/95
45	5,630,877	05/20/97	Kashiwagi, et al.	118	623	05/25/95
46	5,648,165	07/15/97	Phillips, et al.	428	346	12/22/93
47	5,672,410	09/30/97	Miekka, et al.	428	148	02/09/93
48	5,683,622	11/04/97	Krätzschar, et al.	252	299.01	05/05/95
49	5,733,976	03/31/98	Sailer	525	100	02/15/96
50	5,763,086	06/09/98	Schmid, et al.	428	404	10/09/96
51	5,766,334	06/16/98	Hashizume, et al.	106	403	06/05/96
52	5,766,355	06/16/98	Bujard, et al.	106	404	04/23/97
<u>53</u>	5,766,738	06/16/98	Phillips, et al.	428	200	06/06/95

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<u>X</u> 54	5,807,497	09/15/98	Gailberger, et al.	252	299.01	05/18/95
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57	5,851,277	12/22/98	Müller-Rees, et al.	106	287.35	07/08/97
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61	6,103,361	08/15/00	Batzar, et al.	428	323	09/01/98
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<u>X</u> 64	6,387,498-B1	05/14/02	Coulter, et al.	428	403	04/07/00

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Translation</u>
<u>X</u> 65	DE 39 38 055	05/23/91	Germany			No
66	DE 43 13 541	10/27/94	Germany			No
67	DE 43 40 141	06/01/95	Germany			No
68	DE 44 19 173	12/07/95	Germany			No
<u>X</u> 69	EP 353,544	07/02/90	Europe			Yes

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Serial No.:

10,537,605 Attorney Docket No.: 48930-01531

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August 8, 2003 Group: _____

For:

MULTI-LAYERED MAGNETIC PIGMENTS AND FOILS

<input checked="" type="checkbox"/>	70	EP 686,675	12/13/95	Europe	Yes
<input type="checkbox"/>	71	EP 710,508	10/27/95	Europe	Yes
<input type="checkbox"/>	72	EP927,749	07/07/99	Europe	N/A
<input type="checkbox"/>	73	EP 927,750	07/07/99	Europe	N/A
<input type="checkbox"/>	74	JP 10-147065	06/89	Japan	No
<input type="checkbox"/>	75	JP 56-130469	10/82	Japan	No
<input checked="" type="checkbox"/>	76	WO 96/22336	07/25/96	PCT	No

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial*

<input checked="" type="checkbox"/>	77	J.A. Dobrowolski, K.M. Baird, P.D. Carman and A. Waldorf, "Optical Interference Coatings for inhibiting of Counterfeiting," Optica Acta, 1973, Vol. 20. 12, Pages 925-937
<input type="checkbox"/>	78	John M. McKiernan, et al., "Luminescence and Laser Action of Coumarin Dyes Doped in Silicate and Aluminosilicate Glasses Prepared by the Sol-Gel Technique," Journal of Inorganic and Organometallic Polymers, Vol. 1, No. 1, 87-103, 1991
<input type="checkbox"/>	79	The Mearl Corporation brochure for "Mearl Iridescent Film," Peekskill, New York
<input type="checkbox"/>	80	CHROMAFLAIR Light Interference Pigments, Technical Bulletin No. 2, "Dynamic Color Area Diagram and Value," TB-02-98
<input type="checkbox"/>	81	NPIRI Raw Materials Data Handbook, Volume 4 Pigments, 1983, "Pigments are not Dyes"
<input checked="" type="checkbox"/>	82	The Material Science of Thin Films, Milton Ohring, pages 487-489

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Date Considered:

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- 83 Handbook of Chemistry and Physics, 79th Edition, 1998-1999, pages 12-115 – 12-116
 84 Jeffrey I. Zink, et al., "Optical Probes and Properties of Aluminosilicate Glasses Prepared by the Sol-Gel Method"

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142

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